09-29-04

PTO/SB/21 (04-04)

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### TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

Alexandria, VA 22313-1450 on the date shown below.

Total Number of Pages in This Submission

Application Number	10/787,292	
Filing Date	February 26, 2004	
First Named Inventor	Vajo et al.	
Art Unit	Unknown	
Examiner Name	Unknown	
Attorney Docket Number	GP-303955 (8540R-70)	

ENCLOSURES (check all that apply)						
Fee Transmittal Form		☐ Drawing(s)			er Allowance Communication to chnology Center (TC)	
Fee Attached		Licensing-related Papers			peal Communication to Board of peals and Interferences	
Amendment / Rep	oly	Petition			peal Communication to TC opeal Notice, Brief, Reply Brief)	
After Final			Convert to a Application	☐ Pro	pprietary Information	
Affidavits/dec	laration(s)		ttorney, Revocation Correspondence Address	☐ Sta	utus Letter	
Extension of Time	Request	Terminal D	isclaimer	Other Enclosure(s) (please identify below):		
Express Abandonment Request		Request for Refund  CD, Number of CD(s)		Form HDP-1449 with 8 Cited References attached; and return receipt postcard.		
Information Disclo	sure Statement					
Certified Copy of Document(s)	Priority	Remarks The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 07-0960. A duplicate copy of this sheet is enclosed.				
Response to Miss Incomplete Applic			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	r r dapilo		
Response to I Parts under 3 1.52 or 1.53						
	SIGNA	TURE OF APP	LICANT, ATTORNEY, OF	RAGEN	IT	
Firm or Harness, Dickey & Individual name		Pierce, P.L.C. Attorney Name Linda M. Deschere			Reg. No. 34,811	
Signature	//	<b>/</b> ~				
Date	September 28, 2004					
CERTIFICATE OF TRANSMISSION/MAILING						

Typed or printed name Linda M. Deschere Express Mail Label No. EV 531 990 003 US Signature Date September 28, 2004

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450,

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/787,292

Filing Date:

February 26, 2004

Applicant:

Vajo et al.

Group Art Unit:

Unknown

Examiner:

Unknown

Title:

HYDROGEN STORAGE MATERIALS AND METHODS

INCLUDING HYDRIDES AND HYDROXIDES

Attorney Docket:

GP-303955 (8540R-70)

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

#### **INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

## I. <u>LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION</u>

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

#### II. COPIES

A X Submitted herewith is a legible copy of (i) each U.S. patent application publication and U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; (iii) for each cross-referenced pending U.S. application listed below in Section IV, the application specification including the claims, and any drawing of the application which caused it to be listed including the claims directed to that portion; and (iv) all other information or that portion which caused it to be listed.

B. \_\_\_\_ Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

#### U.S. Filing Date

copies of the U. on the attached § 1.98(a)(2)(i).	S. patents or U.S. patent a I Form 1449 are enclosed	s/is being filed after June 30, 2003, n pplication publications which are liste pursuant to the waiver of 37 C.F.F ents or non-patent literature listed o with.	d R.
States. A copy	of the International Search	try of the National Phase in the Unite Report is attached for the Examiner International Search Report are liste	's

on the attached Form-1449 for consideration by the Examiner and for listing on any patent resulting from this application. If the International Search Report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g))

#### III. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)

A X Except as may be indicated below in (B), all of the patents, publications or
other information are in the English language (concise explanation not required).
·

B.\_\_\_\_ A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)):

1	_See	the	attached	foreign	patent	office	communication	from	а
	count	erpa	rt foreign a	pplicatio	n.				

- 2.\_\_\_\_English translations are provided.
- 3. Other:

C. \_\_\_\_The following additional information is provided for the Examiner's consideration.

## IV. CROSS REFERENCE TO RELATED APPLICATION(S)

A. X The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this(these) application(s) to the Examiner's attention, Applicant(s) does(do) not waive the confidentiality provisions of 35 U.S.C. § 122.

Serial No.	Filing Date	Art Unit
10/787,381	02/26/04	Unknown
10/787,617	02/26/04	Unknown

#### V. THIS IDS IS BEING FILED UNDER

## A X 37 C.F.R. § 1.97(b): (check only one box) 1. \_\_\_\_ within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required. 2. \_\_\_\_ within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required. 3. X before the mailing of a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p). 4. \_\_\_\_ before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required. B. \_\_\_\_37 C.F.R. § 1.97(c): (check <u>only</u> one box) before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution. 1. \_\_\_\_No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p). 2. \_\_\_\_See the certification below. No fee is required. C. \_\_\_\_37 C.F.R. § 1.97(d): after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee. 1. \_\_\_\_See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).

## VI. CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box) The undersigned hereby certifies that: A. \_\_\_\_ each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(1)). See further statement under 37 C.F. R. 1.704(d) below in section VII, if applicable; or B. \_\_\_\_ no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)). C. \_\_\_\_Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS. VII. STATEMENT UNDER 37 CFR 1.704(d) The undersigned hereby states that: each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS. VIII. PAYMENT OF FEES (check only one box) A. \_\_\_\_ A check in the amount of \$180.00 is enclosed for the above-identified fee. B. \_\_\_\_Please charge Deposit Account No. 07-0960 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 07-0960.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 07-0960.

Respectfully submitted,

Dated: September 28, 2004

By: Linda M. Deschere

Reg. No. 34,811

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600

LDES/saf



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# PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 2

ATTORNEY DOCKET NO.	SERIAL NO.
GP-303955 (8540R-70)	10/787,292
APPLICANT	
Vajo et al	
FILING DATE	GROUP
02/26/04	Unknown

U.S. P	U.S. PATENT DOCUMENTS					
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.		20030129126	07/10/2003	Chen et al.		
2.		20030129122	07/10/2003	Chen et al.		
3.		20030113252	06/19/2003	Chen et al.		
4.		5,678,410	10/21/1997	Fujita et al.		
5.		6,015,041	01/18/2000	Heung		
6.		6,159,538	12/12/2000	Rodriguez et al.		
7.		6,267,229	07/31/2001	Heung		
8.		6,329,076	12/11/200	Kawabe et al.		
9.		6,342,198	01/29/2002	Zaluska et al.		
10.		6,419,764	07/16/2002	Kamiya et al.		
11.		6,432,379	08/13/2002	Heung		
12.		6,444,361	09/03/2002	Komori et al.		

FORE	FOREIGN PATENT DOCUMENTS								
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translatio Yes	n No		
1.		EP 0 989 290 A2	3/29/2000	EPO	·				

OTHE	OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)						
Ref. Desig.	Examiner's Initials						
1.		Sandia National Labs, "Hydride Materials Data Base" website, pp. 1 - 4; printed 3/12/2004.					

Examiner:	Date Considered:

### FORM HDP-1449 (Based on Form PTO-1449)

# PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 2 of 2

ATTORNEY DOCKET No.	SERIAL NO.	
GP-303955 (8540R-70)	10/787,292	
APPLICANT		
Vajo et al		
FILING DATE ,	GROUP	
02/26/04	Unknown	

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)			
Ref. Desig.	Examiner's Initials		
2.		Hera "Storage of Hydrogen In Reversible Metal Hydrides" pp. 1 - 3; printed March 17, 2004.	
3.		Pinkerton, et al., "Bottling The Hydrogen Genie", The Industrial Physicist, American Institute of Physics; pp. 20 - 23 (Feb/March 2004).	
4.		CHEN, Ping, XIONG, Zhitao, LUO, Jizhong, LIN, Jianyi, TAN, Kuang Lee. "Hydrogen Storage in Metal Nitride Systems". Edited by Ricardo B. Schwartz, Symposium V, Materials for Energy Storage, Generation and Transport, Vol. 730, April 2-4, 2002, pp. 376 and 385, V5.18.	
5.		Herbert JACOBS and Robert JUZA, "Preparations and Properties of Magnesium Amide and Imide" Journal for Anorganic and General Chemistry, Band [Vol.] 870 (1969) pages 254-261. (English translation only; original German not available.)	
6.		CHEN, Ping, XIONG, Zhitao, LUO, Jizhong, LIN, Jianyi, TAN, Kuang Lee. "Interaction of Hydrogen with Metal Nitrides and Imides" Nature Publishing Group [Vol. 420] (November 21, 2002) pp. 302-304 with Supplement pages 1-6.	
7.		Robert JUZA and Karl OPP, "Metal Amides and Metal Nitrades, 25th Part 1), Journal for Anorganic and General Chemistry. 1951 Band Volume 266, pp. 325-330. (2 documents: English translation and original German.)	

Examiner:	Date Considered: